

NDA SECURITIES LIMITED



Member : National Stock Exchange of India Ltd.

: Bombay Stock Exchange Ltd.

: National Securities Depository Ltd.

Registered & Corporate Office : E-157, 2nd Floor, Kalkaji, New Delhi-110019

Phone : 011-46204000 (100 lines)

Email : info@ndaindia.com, website : www.ndaindia.com

CIN : L74899DL1992PLC050366

Format of Complaint against DDPs to be displayed on their respective Website
Ref.: SEBI Circular No. SEBI/HO/FPIC/P/CIR/2021/691 dated December 17, 2021

Date: 02.01.2025

Data for Every Month Ending- December 2024

| S.No. | Received From | Pending at the end of the Last month | Received during the month | Resolved during the month | Total pending at the end of month | Complaints pending > 1 month | Average Resolution on time (in days) |
|-------|-------------------------|--------------------------------------|---------------------------|---------------------------|-----------------------------------|------------------------------|--------------------------------------|
| 1. | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 5 days |
| 2. | SEBI (SCOREs) | 0 | 0 | 0 | 0 | 0 | 5 days |
| 3. | Stock Exchange | 0 | 0 | 0 | 0 | 0 | 5 days |
| 4. | Other Sources | 0 | 0 | 0 | 0 | 0 | 5 days |
| | Grand Total | 0 | 0 | 0 | 0 | 0 | 5 days |

Trend of monthly Disposal of Complaints for the period April 2022 to March 2023

| S.No. | Month | Carried Forward from Previous Month | Received During the Month | Resolved During the Month | Pending at the end of the Month |
|-------|----------------|-------------------------------------|---------------------------|---------------------------|---------------------------------|
| 1. | APRIL 2024 | 0 | 0 | 0 | 0 |
| 2. | MAY 2024 | 0 | 0 | 0 | 0 |
| 3. | JUNE 2024 | 0 | 0 | 0 | 0 |
| 4. | JULY 2024 | 0 | 0 | 0 | 0 |
| 5. | AUGUST 2024 | 0 | 0 | 0 | 0 |
| 6. | SEPTEMBER 2024 | 0 | 0 | 0 | 0 |
| 7. | OCTOBER 2024 | 0 | 0 | 0 | 0 |
| 8. | NOVEMBER 2024 | 0 | 0 | 0 | 0 |

Trend of Annual Disposal of Complaints

| S.No. | Month | Carried Forward from Previous Year | Received During the Year | Resolved During the Year | Pending at the end of the year |
|-------|---------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2019-20 | 0 | 0 | 0 | 0 |
| 2 | 2020-21 | 0 | 0 | 0 | 0 |
| 3 | 2021-22 | 0 | 6 | 6 | 0 |
| 4. | 2022-23 | 0 | 2 | 2 | 0 |
| 5. | 2023-24 | 0 | 0 | 0 | 0 |

